

## **Exhibit B**

REDACTED

**CUSTOMER CLAIM**

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

**DECEMBER 11, 2008**

(Please print or type)

Name of Customer: Ernest Melton  
Mailing Address: 5500 NW 69th Avenue  
City: Lauderhill State: FL Zip: 33319  
Account No.: 1-ZR043  
Taxpayer I.D. Number (Social Security No.): 6253

**NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.**

\*\*\*\*\*

1. Claim for money balances as of **December 11, 2008**:

- a. The Broker owes me a Credit (Cr.) Balance of \$ 0.00
- b. I owe the Broker a Debit (Dr.) Balance of \$ 0.00
- c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."  
If you wish to make a payment, it must be enclosed  
with this claim form. \$ \_\_\_\_\_
- d. If balance is zero, insert "None." None

2. Claim for securities as of **December 11, 2008**:

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

	<u>YES</u>	<u>NO</u>
a. The Broker owes me securities	<u>X</u>	<u>          </u>
b. I owe the Broker securities	<u>          </u>	<u>X</u>
c. If yes to either, please list below:		

Date of Transaction (trade date)	Name of Security	The Broker Owes Me (Long)	I Owe the Broker (Short)
		Number of Shares or Face Amount of Bonds	
	<u>See Nov. 30, 2008 ZR043 statements, attached hereto as Exhibit A.</u>		
	<u>\$1,763,202.48 (market value of securities long, per ZR043-3 statement)</u>		
	<u>61,050.00 (market value of securities long, per ZR043-4 statement)</u>		
	<u>(86,210.00) (market value of securities short, per ZR043-4 statement)</u>		
Total	<u>\$1,738,042.48</u>	<u>          </u>	<u>          </u>

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor. See Exhibits A and B, and documents submitted herewith.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

	<u>YES</u>	<u>NO</u>
3. Has there been any change in your account since December 11, 2008? If so, please explain.	_____	<u>X</u>
4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	_____	<u>X</u>
5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	_____	<u>X</u>
6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	_____	<u>X</u>
7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.	_____	<u>X</u>
8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	_____	<u>X</u>
9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.	_____	<u>X</u>

Please list the full name and address of anyone assisting you in the preparation of this claim form: Joshua Keller, Esq., Milberg LLP  
One Pennsylvania Plaza, New York, New York 10119

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker. \_\_\_\_\_

Please list the full name and address of anyone assisting you in the preparation of this claim form: \_\_\_\_\_

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.**

Date 1/29/09 Signature   
Date \_\_\_\_\_ Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

# **EXHIBIT A**

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

**\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.**

5500 NW 69TH AVENUE  
LAUDERHILL FL 33319

YOUR ACCOUNT NUMBER  
1-28043-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*6253

PERIOD ENDING  
11/30/08

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BOUGHT GIVEN OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
			BALANCE FORWARD		107,630.95	
1,554		1692	WELLS FARGO & CO NEW	29.800	46,371.20	
1,110		2194	HEWLETT PACKARD CO	34.900	38,783.00	
962		6018	WAL-MART STORES INC	55.830	53,746.46	
629		6520	INTERNATIONAL BUSINESS MACHS	87.270	54,917.83	
2,331		10344	EXXON MOBIL CORP	72.880	169,976.28	
2,553		10846	INTEL CORP	14.510	37,146.03	
1,221		15172	JOHNSON & JOHNSON	59.580	72,795.18	
1,665		19497	J.P. MORGAN CHASE & CO	38.530	64,218.45	
888		23823	COCA COLA CO	44.660	39,693.08	
518		28149	MCDONALDS CORP	55.370	28,701.66	
962		32475	MERCK & CO	28.550	27,503.10	
3,515		36801	MICROSOFT CORP	21.810	76,802.15	
1,776		41127	ORACLE CORPORATION	17.300	30,795.80	
703		54105	PEPSICO INC	56.410	39,684.23	
407		54607	APPLE INC	100.780	41,033.46	
2,997		58431	PFIZER INC	16.940	50,883.18	
703		58933	ABBOTT LABORATORIES	54.610	38,418.83	
1,332		62757	PROCTER & GAMBLE CO	64.080	85,407.56	
481		63259	AMGEN INC	59.160	28,472.96	
925		67083	PHILLIP MORRIS INTERNATIONAL	43.600	40,367.00	
2,220		67585	BANK OF AMERICA	21.590	46,017.80	
740		71409	DUALCOM INC	33.770	25,018.80	
2,405		71911	CITI GROUP INC	12.510	30,142.55	

CONTINUED ON PAGE 2

THIS STATEMENT FOR INCOME TAX PURPOSES

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**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York London

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**1-78043-3-0**

YOUR TAX PAYER IDENTIFICATION NUMBER  
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PERIOD DURING  
**11/30/08**

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BOUGHT (DELIVERED OR LONG)	SOLD (DELIVERED OR SHORT)	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
125,000	22,135	5416 58728	FIDELITY SPARTAN U S TREASURY MONEY MARKET U S TREASURY BILL DUE 03/26/2009 3/26/2009	1 99.926	124,907.50	22,135.
8,062		63352	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	9,082.00	
			NEW BALANCE		211,008.30	
2,627 703 481 407 2,220 925 2,701 2,405 888 1,332			SECURITY POSITIONS AT&T INC ABBOTT LABORATORIES AMGEN INC APPLE INC BANK OF AMERICA CHEVRON CORP CISCO SYSTEMS INC CITI GROUP INC COCA COLA CO COMCAST CORP CL A	MKT PRICE 28.560 52.390 55.540 92.670 16.250 79.010 16.540 8.290 46.870 17.340		
666 2,331 4,699			CONOCOPHILLIPS EXXON MOBIL CORP GENERAL ELECTRIC CO CONTINUED ON PAGE 4	52.520 80.150 17.170		

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New York ☐ London

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PERIOD ENDING  
**11/30/08**

YOUR TAX PAYER IDENTIFICATION NUMBER  
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Affiliated with  
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Tel 020 7493 6222

BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
111			GOOGLE	292.960		
1,110			HEWLETT PACKARD CO	35.280		
2,553			INTEL CORP	13.800		
629			INTERNATIONAL BUSINESS MACHS	81.600		
1,665			J.P. MORGAN CHASE & CO	31.660		
1,221			JOHNSON & JOHNSON	58.580		
518			MCDONALDS CORP	58.750		
962			MERCK & CO	26.720		
3,515			MICROSOFT CORP	20.220		
1,776			ORACLE CORPORATION	16.090		
703			PEPSICO INC	56.700		
2,997			PFIZER INC	16.430		
925			PHILLIP MORRIS INTERNATIONAL	42.160		
1,332			PROCTER & GAMBLE CO	64.350		
740			QUALCOMM INC	33.570		
555			SCHLUMBERGER LTD	50.740		
8,082			FIDELITY SPARTAN	1		
			U S TREASURY MONEY MARKET			
			U S BANCORP			
777			UNITED PARCEL SVC INC	26.980		
444			CLASS B	57.600		
			U S TREASURY BILL			
125,000			DUE 03/26/2009	99.971		
444			3/26/2009			
			UNITED TECHNOLOGIES CORP	48.530		
			CONTINUED ON PAGE 5			

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PREPARED EXPIRATION  
**11/30/08**

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BOUGHT GIVEN OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
1,258 962 1,554			VERIZON COMMUNICATIONS WAL-MART STORES INC WELLS FARGO & CO NEW MARKET VALUE OF SECURITIES LONG 1,763,202.48 SHORT	32.650 55.880 28.890		

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PERIOD ENDING  
11/30/08

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Attuated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel: 020 7493 6222

BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
			YEAR-TO-DATE SUMMARY DIVIDENDS GROSS PROCEEDS FROM SALES			12,475. 10,270,287.

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INVESTMENT SECURITIES LLC  
New York ☐ London

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**\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.**  
**ERNEST MELTON**

5500 NW 69TH AVENUE  
LAUDERHILL FL 33319

YOUR ACCOUNT NUMBER  
**1-78043-4-0**

YOUR TAX PAYER IDENTIFICATION NUMBER  
**\*\*\*\*\*6253**

PERIOD BEGINNING  
**11/30/08**

PAGE  
**1**

Attributed with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 3493 6222

BOUGHT SOLD OR LONG	SOLD DELIVERED OR SHORT	TRIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
37	37	45453	BALANCE FORWARD	15.800		107,631.01
37	37	49779	S & P 100 INDEX NOVEMBER 460 CALL	17.800	65,897.00	58,423.01
37	37	35863	S & P 100 INDEX NOVEMBER 450 PUT	26		96,163.01
37	37	40208	S & P 100 INDEX DECEMBER 430 CALL	30	111,037.00	
37	37	44533	S & P 100 INDEX DECEMBER 420 PUT	3	11,137.00	
37	37	48858	S & P 100 INDEX NOVEMBER 460 CALL	37		136,863.01
			S & P 100 INDEX NOVEMBER 450 PUT			211,009.01
			NEW BALANCE			
			SECURITY POSITIONS			
			S & P 100 INDEX			
			DECEMBER 430 CALL			
			S & P 100 INDEX			
			DECEMBER 420 PUT			
			MARKET VALUE OF SECURITIES			
			LONG			
			SHORT			
			51,050.00			
			86,210.00-			

THIS STATEMENT FOR INCOME TAX PURPOSES

# **EXHIBIT B**

**EXHIBIT B**

1. This Claim Form, exhibits, and supporting documentation (collectively "Claim Form") is submitted pursuant to the December 23, 2008 Order of the Honorable Burton R. Lifland and the instructions disseminated by Irving H. Picard, Trustee for Bernard L. Madoff Investment Securities LLC ("Trustee"), on December 11, 2008.
2. The information provided in the Claim Form is based on information provided in the Claimant's latest Madoff account statement and additional information known by the Claimant as of the date of the submission of the Claim Form. The Claimant reserves the right to amend and/or supplement this Claim Form upon the receipt of further information, or upon request by the Trustee for additional information.
3. The Claimant reserves the right to amend the Claim Form in the event of any recoveries by the Trustee or any other party under the avoidance powers of the Bankruptcy Code or otherwise, or in the event of rejections of executory contracts pursuant to Bankruptcy Code Section 365, whether such amendments are made pursuant to Bankruptcy Code Sections 105, 502(g), or 502(h), Bankruptcy Rule 3002(c)(3), (4), other provisions of applicable bankruptcy law, or general principles of law or equity.
4. The Claimant hereby requests that the Claim Form be considered as a proof of claim in *In re Bernard L. Madoff Investment Securities LLC*, No. 08-01789 (Bankr. S.D.N.Y.).
5. This Claim Form is required to be submitted pursuant to the Court's January 2, 2009 Order and the Trustee's instructions to the Claimant. To the extent permitted by applicable law, the Claimant does not, by submitting the Claim Form, consent to the jurisdiction of the Bankruptcy Court nor does Claimant waive any right to trial by jury.
6. The Claimant reserves all rights, claims, and/or defenses as to and/or against any and all parties potentially liable for the losses sustained by the Claimant, including, without limitation, Bernard L. Madoff Investment Securities LLC and its owners, partners, employees, and affiliates, as well as any potentially liable third parties including, without limitation, investment advisors, "feeder funds," accountants, and auditors.
7. The Claimant further reserves all rights, claims, and/or defenses as to and/or against any persons and/or creditors asserting claims against Bernard L. Madoff Investment Securities LLC, its employees, owners, and/or affiliates, in bankruptcy or otherwise.
8. The Claimant reserves all objections as to the competence, relevance, materiality, privilege, or admissibility of evidence in any subsequent proceeding or trial of this or any other action for any purpose whatsoever, notwithstanding the submission of any such information to the Trustee.
9. To the extent the Claimant has disclosed to the Trustee documents containing accounting and/or legal advice, the Claimant does not waive any potential privileges applicable thereto.

10. The Claimant reserves all rights with respect to submitting information to the Internal Revenue Service regarding gains, losses, and/or theft of assets.
11. The Claim Form and supporting documents contain confidential information. The Claimant submits this information to the Trustee subject to the condition that this information will not to be disclosed to any third parties, other than under seal to the Court, absent the Claimant's express consent or Court order.
12. The Claimant submits herewith documents in support of the Claimant's claim, including documents containing information regarding account transactions, such as contributions and/or withdrawals. The Claimant reserves any arguments that such documents are not relevant to the Trustee's inquiry. The Claimant further reserves the right to supplement this submission, including the submission of additional documents, if deemed necessary. Below is a list of the documents submitted herewith:

**2008:**

- Account Statement for period ending 11/30 attached.